



**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: DEUTSCHE BANK AG, LONDON BRANCH  
TRANSFEROR: PANNING MASTER FUND, LP  
ATTN: RICH VICHADITH  
60 WALL ST., 3RD FLOOR  
NEW YORK NY 10005

Please note that your claim # 58233-70 in the above referenced case and in the amount of  
\$344,035.16 allowed at \$229,207.29 has been transferred (unless previously expunged by court order)

PRIME CAPITAL MASTER SPC, GOT WAT MAC  
TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH  
SEGREGATED PORTFOLIO  
C/O WATERSTONE CAPITAL MANAGEMENT, L.P.  
ATTN: VINCENT CONLEY  
2 CARLSON PARKWAY, SUITE 260  
PLYMOUTH MN 55447

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 42157 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 01/27/2014

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on January 27, 2014.

**EXHIBIT B**

TIME: 16:00:41  
DATE: 01/27/14

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

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Name	Address
ALDEN GLOBAL VALUE RECOVERY MASTER FUND LP	TRANSFEROR: RIVERROCK SECURITIES LIMITED C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
BANCA MEDIOBANUM S.P.A.	ATTN: HEAD OF OPERATIONS CONTROL UNIT VIA F. SFORZA, 15 BASIGLIO ( MILANO ) 20080 ITALY
BANCA MONTE DEI PASCHI DI SIENA S.P.A.	TRANSFEROR: BANCA MEDIOBANUM S.P.A. ATTN: DORIANA FRAGNELLI PIAZZA SALIMBENI, 3 SIENA 53100 ITALY
BANK HAPOALIM (SWITZERLAND), LTD.	ATTN: BRIGITTE FOTSCH & RUDOLF BRUNNER STOCKERSTRASSE 33 ZURICH CH-8002 SWITZERLAND
BANK HAPOALIM (SWITZERLAND), LTD.	PAUL WEISS, RIFKIND, WHARTON & GARRISON LLP ATTN: DOUGLAS R. DAVIS 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019
BANK VONTOBEL AG ZURICH/SWITZERLAND	TRANSFEROR: UBS AG BANK VONTOBEL AG GOTTHARDSTRASSE 43, POSTFACH ZURICH CH-8022 SWITZERLAND
BBVA (SUIZA) S.A.	BANCO BILBAO VIZCAYA ARGENTARIAS, S.A. ATTN: ANA HIDALGO/ ELENA PRIETO PASEO DE LA CASELIANA, 81 - FLOOR 21 MADRID 28046 SPAIN
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BBVA (SUIZA) S.A.	ATTN: INIGO BERASALUCE / DIRECTOR ZELTWEG 63 ZURICH CH-8021 SWITZERLAND
BBVA (SUIZA) S.A.	CLEARY GOTTLIEB STEEN & HAMILTON LLP ATTN: SETH GROSSHANDLER, ESQ. ONE LIBERTY PLAZA NEW YORK NY 10006
CYRUS HCE FUND, SPC FOR AND ON BEHALF OF	TRANSFEROR: GOLDMAN, SACHS & CO. ATTN: INIGO BERASALUCE/DIRECTOR ZELTWEG 63 ZURICH CH-8021 SWITZERLAND
CYRUS HCE FUND, SPC FOR AND ON BEHALF OF	TRANSFEROR: SEAPORT GROUP EUROPE LLP, THE SEGREGATED PORTFOLIO C; ATTN SVET NIKOV 399 PARK AVENUE, 39TH FLOOR NEW YORK NY 10022
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: SEAPORT GROUP EUROPE LLP, THE SEGREGATED PORTFOLIO C; ATTN: SVET NIKOV 399 PARK AVENUE, 39TH FLOOR NEW YORK NY 10022
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: BANK HAPOALIM (SWITZERLAND), LTD. ATTN: MICHAEL SULTON WINCHESTER HOUSE 1 GREAT WINCHESTER STREET LONDON EC2N 2DB UNITED KINGDOM
PANNING MASTER FUND, LP	TRANSFEROR: PANNING MASTER FUND, LP ATTN: RICH VICHAIIDITH 60 WALL ST., 3RD FLOOR NEW YORK NY 10005
PRIME CAPITAL MASTER SPC, GOT WAT MAC	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS, LLC C/O PANNING CAPITAL MANAGEMENT, LP ATTN: ROBERT BOWERS 50 MADISON AVE., 24TH FLOOR NEW YORK NY 10022
RIVERROCK SECURITIES LIMITED	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH SEGREGATED PORTFOLIO C/O WATERSTONE CAPITAL MANAGEMENT, L.P. ATTN: VINCENT CONLEY 2 CARLSON PARKWAY, SUITE 260 PLYMOUTH MN 55447
RIVERROCK SECURITIES LIMITED	TRANSFEROR: BBVA (SUIZA) S.A. ATTN: GUI GOYARD 8-10 GROSVENOR GARDENS LONDON SW1W 0DH UNITED KINGDOM
SEAPORT GROUP EUROPE LLP, THE	TRANSFEROR: FAHMY, SHADIA ATTN: GUI GOYARD 8-10 GROSVENOR GARDENS LONDON SW1W 0DH UNITED KINGDOM
UBS AG	TRANSFEROR: UBS AG ATTN: JAY KONKLIN GROUND FLOOR WEST, ONE FINSBURY CIRCUS LONDON EC2M 7EB UNITED KINGDOM
WATERSTONE MF FUND, LTD	TRANSFEROR: CREDIT SUISSE AG ATTN: HUGO KOLLER BAHNHOFSTR. 45 ZURICH 8001 SWITZERLAND
WATERSTONE MKT NEUTRAL MASTER FUND, LTD	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O WATERSTONE CAPITAL MANAGEMENT, LP ATTN: VINCENT CONLEY 2 CARLSON PARKWAY, SUITE 260 PLYMOUTH MN 55447
	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O WATERSTONE CAPITAL MANAGEMENT, LP ATTN: VINCENT CONLEY 2 CARLSON PARKWAY, SUITE 260 PLYMOUTH MN 55447

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